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JC904 U.S. PTO

08-14-01

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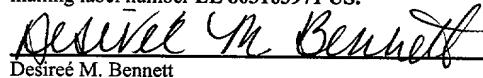
Practitioner's Docket No. 782_181

PATENT

JC996 U.S. PTO
09/928523
08/13/01

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on August 13, 2001 under "EXPRESS MAIL" mailing label number EL 803163971 US.


Desireé M. Bennett

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

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Title: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD FOR FABRICATING THE SAME

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 12 Page(s) of Specification
3 Page(s) of Claims
1 Page(s) of Abstract
4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- [] A Patent Application Bibliographic Data
[] A Preliminary Amendment
[] A combined Declaration and Power of Attorney
[] An Information Disclosure Statement
[] PTO Form-1449
J [] An Assignment Transmittal and Assignment of the invention to
NGK Insulators, Ltd.

2. Additional Papers to Follow Under Separate Cover

A Claim for Priority and a certified copy of 2000-264,934, 2000-293,596, and 2001-207,785 filed September 1, 2000, September 27, 2000, and July 9, 2001, respectively in Japan

3. The correspondence address for this application is:

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

Applicants hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

A. Filing Fee

Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a)--\$710.00; Small Entity--\$355.00)

B. Fees for Claims (18 Claims; 3 Indep.)

each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)

each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)

multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)

C. Surcharge fees

late payment of filing fee \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

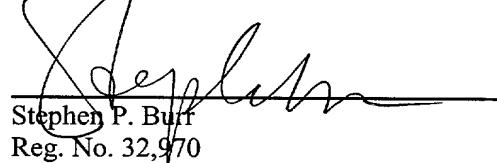
NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

D.	<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor (37 C.F.R. 1.17(h) and 1.47--\$130.00)	\$ _____.00
E.	<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)	\$ _____.00
F.	<input checked="" type="checkbox"/> Assignment Fee	\$ _____.00
		Total Fees Due \$ 750.00

7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- A check in the amount of \$750.00 is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- Any additional filing fees required under 37 CFR 1.16.
- Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,


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August 13, 2001

Date

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